SUPERVISED PERSON BROCHURE

Michael K. Herrmann

Part 2B of Form ADV

This brochure supplement provides information about Michael Herrmann that supplements the Vora Wealth Management PLLC's brochure. You should have received a copy of that brochure. Please contact Michael Herrmann if you did not receive Vora Wealth Management PLLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael K. Herrmann (CRD#2710362) is available on the SEC's website at www.adviserinfo.sec.gov.

Office Location
4517 E Kelton Lane
Phoenix, AZ 85032

Mailing Address
P.O. Box 73317
Phoenix, AZ 85050

Main Location
822 N. Humphreys Street
Flagstaff, AZ 86001

(Phone) 602-573-4405
(Web) vorawealth.com (Email) michael@vorawealth.com September 30, 2021

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

Principal Executive Officer

Michael K. Herrmann

- Year of birth: 1969

ITEM 2: EDUCATION BACKGROUND AND BUSINESS EXPERIENCE

Educational Background

- Johnson & Wales University – Associate of Science in Hotel Restaurant Management – 1990

Business Experience:

- Vora Wealth Management, PLLC; Investment Advisor Representative; 04/2021 – Present
- Michael K. Herrmann, Sole Proprietor dba Herrmann Financial Group; Insurance Agent; 12/1995 – Present
- Metlife Securities; Investment Advisor Representative; 07/2005-01-2007
- First Empire Securities; Vice President of Investments; 05/2004-05/2005
- United Planners Financial Services; Investment Advisor Representative; 01/2001-03/2004
- W.S. Griffith & Co; Investment Advisor Representative; 02/1998- 12/2000
- Allmerica Investments; Registered Representative; 02/1997 – 02/1998
- MML Investors Services; Registered Representative; 05/1996-01/1997

ITEM 3: DISCIPLINARY INFORMATION

None to report.

ITEM 4: OTHER BUSINESS ACTIVITIES

Michael Herrmann has a financial affiliated business as an insurance agent. Approximately 90% of his time is spent in this activity. From time to time, he will offer clients advice or products from this activity.

These practices represent conflicts of interest because it gives Mr. Herrmann an incentive to recommend products based on the commission received. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation to place the best interest of the clients first and clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

ITEM 5: ADDITIONAL COMPENSATION

Michael Herrmann receives compensation from the sale of insurance but does not receive any performance based fees.

ITEM 6: SUPERVISION

Dharmesh Vora is the Chief Compliance Officer of VWM. Dharmesh Vora reviews Michael Herrmann's work through Client account reviews and quarterly personal transaction reports, as well as face-to-face and phone interactions. Dharmesh Vora can be reached at admin@vorawealth.com or 928-526-8672.